

Appendix 2

LCC Operational Risk Exposure Summary as of 30th September 2022

STRATEGIC AREA – CITY DEVELOPMENTS AND NEIGHBOURHOODS

Risk Ref (as per ORR)	Risk	Risk Owner	Impact	Likelihood	Risk Score with existing Risk Score	Impact	Likelihood	Target Risk Score	Target Date
5.	Housing – Budget Pressures - Increase in inflationary pressures have led to increasing pressures on the HRA and the Housing GF	CB	5	4	20	4	3	12	31/01/23 ongoing
7.	Neighbourhood and Environmental Services – Ash Dieback – Epidemic of Ash Trees	SA	4	4	16	4	4	16	31/01/23 ongoing
1.	Estates and Building Services – Sustainability – Energy inefficient property assets adversely affecting LCC carbon reduction targets	MW	4	4	16	3	4	12	31/01/23 ongoing
2.	Estates and Building Services – Sustainability – Climate change / Net zero failure to deliver on ambition of Net Zero targets due to insufficient council investment funding and inability to attract external funding	MW	4	4	16	3	4	12	31/01/23 ongoing
3.	Estates and Building Services – Sustainability – Lack of understanding or appropriate knowledge including climate change impact and expectations and perceptions of stakeholders	MW	4	4	16	3	4	12	31/01/23 ongoing
4.	Estates and Building Services – People – New ways of working – potential impact of staff working from home increasing LCC carbon footprint (Scope 1 emissions)	MW	4	4	16	3	4	12	31/01/23 ongoing
8.	Neighbourhood and Environmental Services - Decreasing availability of burial space. Burial space is limited in supply and may run out if further provision is not provided before existing capacity is reached.	SA	4	4	16	4	3	12	31/01/23 ongoing
11.	Planning, Development and Transport — Covid-19 Impacts	ALS	4	4	16	3	4	12	31/01/23 ongoing
12.	Planning, Development and Transport - Failure or delayed delivery of development outcomes, including infrastructure - Ashton Green; new homes, employment land, community social infrastructure, open space, new jobs & skills training etc.	ALS	4	4	16	3	4	12	31/01/23 ongoing

13.	Planning, Development and Transport - Availability of supply chain - contractors, construction difficult to get in place and issues on materials being available since Covid-19.	ALS	4	4	16	3	4	12	31/01/23 ongoing
6.	Housing – Refugees - Increase in community tensions as a result of the newly arrived Afghans in Leicester and the impact on homelessness services/ availability of social housing/ Safeguarding concerns in relation to the Homes for Ukraine project.	CB	4	4	16	3	3	9	31/01/23 ongoing
9.	Neighbourhood and Environmental Services – Lack of adequate resource capacity.	SA	4	4	16	3	3	9	31/01/23 ongoing
14.	Tourism, Culture & Investment – Citywide Covid-19 – Business failure in the city centre due to prolonged impact of Covid-19 impacts on economy and appeal.	MD	4	4	16	3	3	9	31/01/23 ongoing
10.	Neighbourhood and Environmental Services – Beaumont Park Depot – Condition of depot creating risks to service delivery, individuals working on site and visitors	SA / MW	5	3	15	5	3	15	31/01/23 ongoing

STRATEGIC AREA – CORPORATE RESOURCES AND SUPPORT

15.	Delivery, Communications and Political Governance – Cyber Security - Increasing profile and expertise to circumvent established defences increase vulnerability of LCC data.	MC	4	4	16	4	3	12	31/01/23 ongoing
16.	Delivery, Communications and Political Governance – On-Going Global supply Chain issues. Global shortage of semiconductor components impacting technology supply chain.	MC	4	4	16	3	4	12	31/01/23 ongoing
17.	Delivery, Communications and Political Governance – Shortages in terms of staff capacity/key skills	MC	4	4	16	3	4	12	31/01/23 ongoing
18.	Delivery, Communications and Political Governance – Loss of income opportunities. Commercial arrangements such as trading with schools are lost due to heightened market competition and due to a lack of staff resources and expertise to undertake marketing and business development	MC	4	4	16	3	4	12	31/01/23 ongoing
19.	Delivery, Communications and Political Governance - Ongoing budget pressures and savings impacting on service delivery	MC	4	4	16	3	4	12	31/01/23 ongoing
20.	Delivery, Communications and Political Governance – Electoral Reforms arising from Elections Act. If key policy details are not	MC	4	4	16	3	4	12	30/04/23 ongoing

	confirmed and secondary legislation not published in adequate time, the Returning Officer will be unable to implement the Elections Act 2022.								
21.	Legal – Workloads and Pressure – Client Care. Services within the Council are stretched with increased demands and pressures.	KA	4	4	16	4	3	12	31/01/23 ongoing

STRATEGIC AREA – SOCIAL CARE AND EDUCATION

22.	Children's Social Care and Early Help – Workforce availability – Diminishing availability of experienced skilled social workers	CT	5	4	20	5	4	20	31/01/23 ongoing
24.	Education - Failure to identify children missing from education in a timely manner. This could include children who have left school rolls who have not arrived at their destination school or where they have become electively home educated but where this is not sufficient. This has an increased likelihood as a result of Covid pandemic	SW	4	4	16	3	2	6	31/01/23 ongoing
23.	Children's Social Care and Early Help - Budget Loss and / or reduction of services to achieve budget savings	CT	5	3	15	5	3	15	31/01/23 ongoing
25.	Education – Pupil Attendance DfE are increasing the statutory responsibilities relating to pupil attendance.	SW	3	5	15	2	2	4	31/01/23 ongoing

STRATEGIC AREA – PUBLIC HEALTH

26.	Wider Economy and Supply Chain - Brexit / Covid related pressures increases prices or reduces availability of IT stock / services / logistics / medicines etc within the supply chain.	IB	5	4	20	4	4	16	31/01/23 ongoing
27.	Budget - Changes to service delivery to comply with available budget and savings targets - continued reductions could force termination of services to ensure priority services remain available.	IB	4	5	20	3	5	15	31/01/23 ongoing
28.	Staffing and recruitment - External. A national skill shortage in conjunction with Leicester being a challenging area in comparison	IB	5	4	20	5	3	15	31/01/23 ongoing

	to neighbouring areas creates difficulties in securing Health Visitors or other appropriately trained professionals.								
29.	Covid-19 / Health Protection – If recovery efforts are slower paced than desired this could lead to delivery and safeguarding issues.	IB	5	4	20	4	3	12	31/01/23 ongoing
30.	Commissioning - Reduced budget for services impacts on financial viability to suppliers who may deem package to be unviable leading to a lack of bids reducing competition or tender failing altogether	IB	4	4	16	3	5	15	31/01/23 ongoing
31.	Policy & Governance. Population health and the wider determinants of health impact, and are impacted by, a broad range of activities LCC undertakes.	IB	4	4	16	3	4	12	31/01/23 ongoing
32.	Staffing and recruitment - Internal. An emerging recruitment crisis across a variety of sectors creates difficulty, both within Public Health and our commissioned services or system partners, in securing sufficient staff with the appropriate skills and experience to meet the immediate Public Health challenges posed by Covid 19 response and recovery.	IB	4	4	16	4	3	12	31/01/23 ongoing
33.	Data - Complex data sharing agreements with external organisations not in place or understood by officers which restricts information flow into Public Health required to deliver objectives.	IB	4	4	16	3	3	9	31/01/23 ongoing

Key:

IMPACT (I)	SCORE	LIKELIHOOD (L)	SCORE
CRITICAL/ CATASTROPHIC	5	ALMOST CERTAIN	5
MAJOR	4	PROBABLE / LIKELY	4
MODERATE	3	POSSIBLE	3
MINOR	2	UNLIKELY	2
INSIGNIFICANT/ NEGLIGIBLE	1	VERY UNLIKELY / RARE	1

Risk scores:

LEVEL OF RISK	OVERALL RATING	HOW THE RISK SHOULD BE TACKLED/ MANAGED
High Risk	15-25	IMMEDIATE MANAGEMENT ACTION
Medium Risk	9-12	Plan for CHANGE
Low Risk	1-8	Continue to MANAGE

Risk Owners:

ALS	-	Andrew L Smith	MC	-	Miranda Cannon
CB	-	Chris Burgin	MD	-	Mike Dalzell
CT	-	Caroline Tote	MW	-	Matt Wallace
IB	-	Ivan Browne	SA	-	Sean Atterbury
KA	-	Kamal Adatia	SW	-	Sue Welford